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## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

Date of Mailing: September 29, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop IDS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the above identified date.

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October 28, 2004

ATTY DOCKET NO.: 1629.122

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In re: U.S. Application Serial No. 10/804,572  
Inventor: Russell A. Warnecke, et.al.  
Title: **Color Changing Apparatus, And Associated Method, for a Light Assembly**

Enclosed please find:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Form PTO/SB/08A;
- ☒ Two (2) cited references;
- ☒ Return post card.

Respectfully submitted,

By:

*Robert H. Kelly*  
Robert H. Kelly, Reg. No. 33,922  
Attorney for Applicant

ATTY DOCKET NO.

PATENT  
Customer ID No. 30973



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: RUSSELL A WARNECKE, ET.AL  
Serial No. 10/804,572  
Filing Date: March 19, 2004  
Group Art Unit: 2875  
Examiner: Not yet assigned  
Title: COLOR CHANGING APPRATUS, AND ASSOCIATED METHOD, FOR A LIGHT ASSEMBLY

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Lilis Pramasurja

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98, and §609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449.

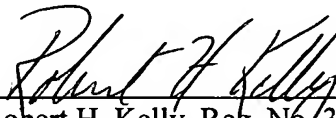
**PATENT DOCUMENTS:**

<u>Pat/App. No.</u>	<u>Date</u>	<u>Applicant</u>
4,984,143	01/08/1991	Brian E. Richardson
5, 126,886	06/30/1992	Brian E. Richardson

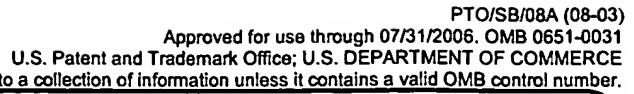
Applicant hereby expressly reserves the right to swear behind the effective date of the references and to question the relevance and materiality of the references cited, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

Date: 28 Oct 04

  
Robert H. Kelly, Reg. No. 33,922  
Attorney for Applicant

SCHEEF & STONE, L.L.P.  
5956 Sherry Lane, Suite 1400  
Dallas, Texas 75225  
Tel: (214) 706-4200  
Fax: (214) 706-4242  
[robert.kelly@scheefandstone.com](mailto:robert.kelly@scheefandstone.com)

**Complete if Known**

Application Number	10/185,157
Filing Date	March 19, 2003
First Named Inventor	Russell A. Warnecke
Art Unit	2875
Examiner Name	Not yet assigned
Attorney Docket Number	1629.122

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[illegible][illegible]Date  
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**